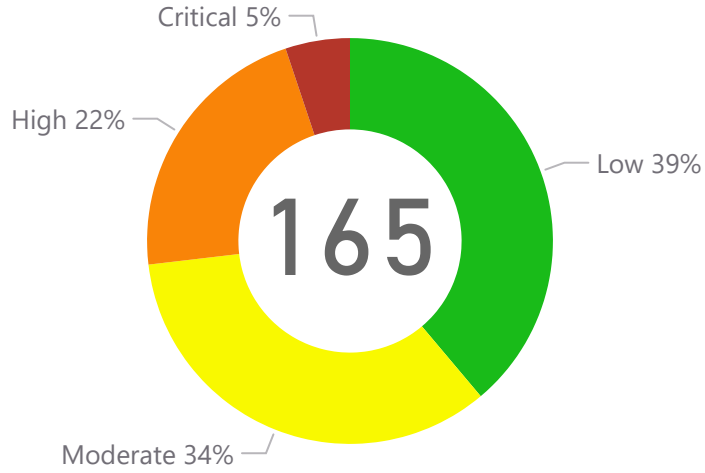
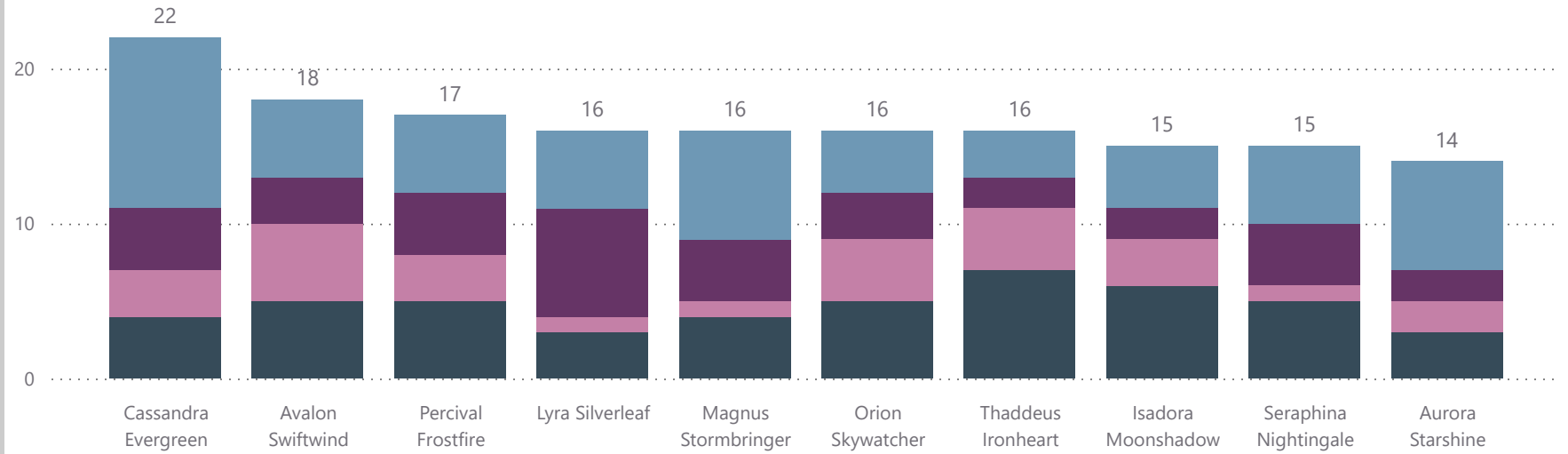


Open Issues and Action Plans - Enchanted Enterprises

Open Action Plans by Severity



Action Plans by Executive and Type



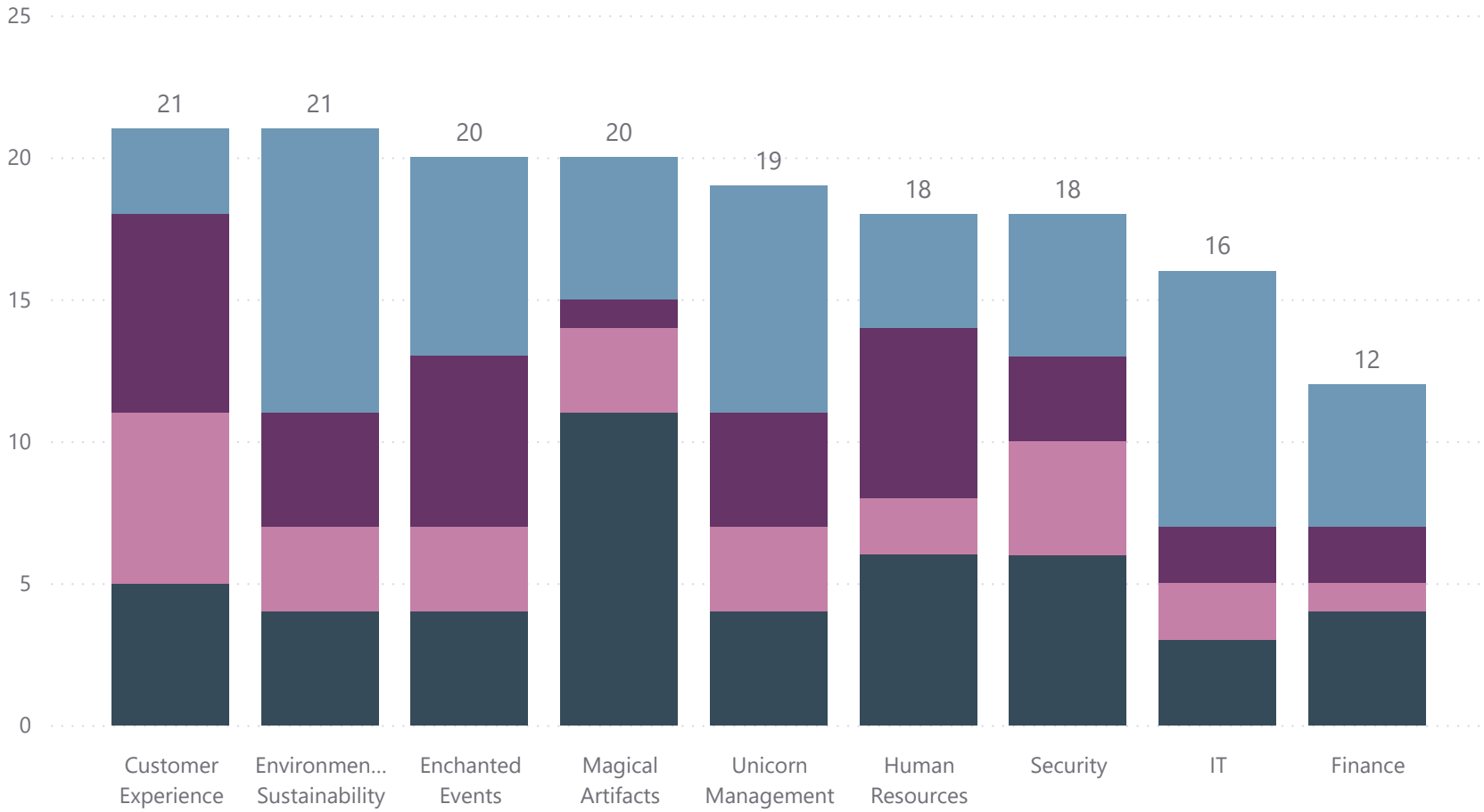
Open Action Plans by Due Date



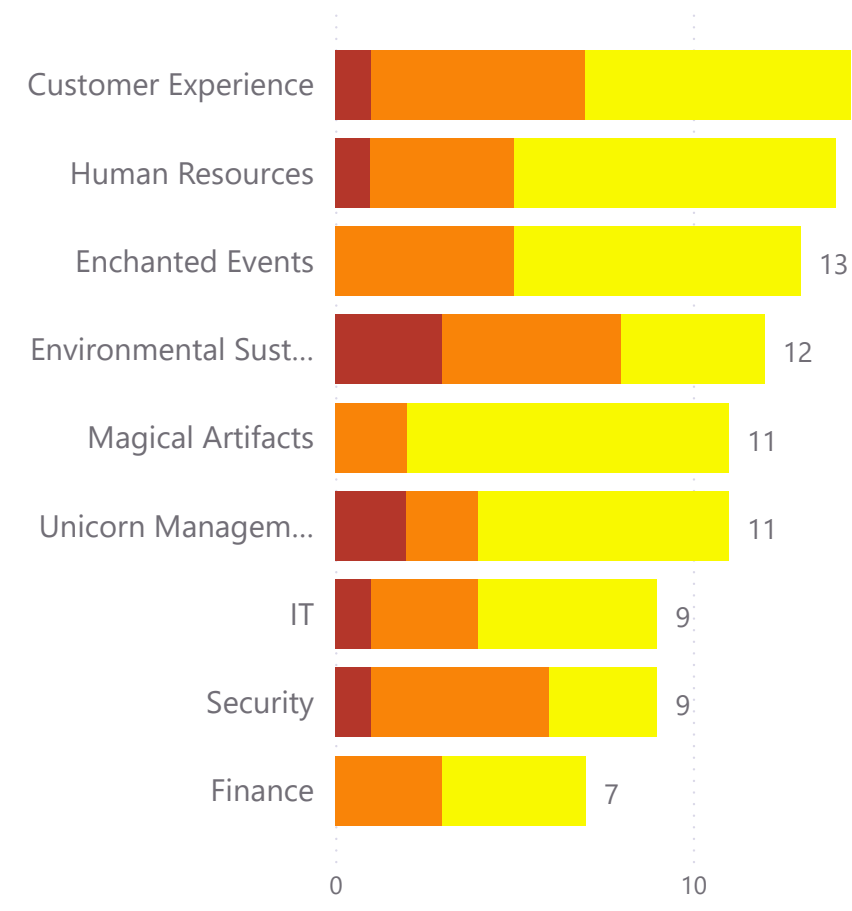
Year	Month	Day	Action Plan Owner	Audit Name	Year	Month	Day	Audit Type	Management Action Plan
2023	December	31	Selina Meyer - Vice President of Operations	Bank Secrecy Act (BSA) and Anti-Money Laundering (AML) Audit	2023	January	20	Compliance	Streamline incident response pro improve efficiency and effectiveness
2024	February	29	Claire Dunphy - Operations Manager	Financial Reporting Processes Audit	2024	January	3	Financial	Implement channels and systems concerns, misconduct, or violations
2024	March	31	Samantha Stephens - Marketing Strategist	Treasury Management Processes Audit	2024	January	3	Financial	Conduct regular testing and validation recovery plans to ensure readiness
2024	April	13	Ross Geller - Senior Scientist	Disaster Recovery and Business Continuity Plan Audit	2024	January	19	IT	Establish standards and procedures accuracy, completeness, and integrity
2024	May	19	Sue Sylvester - Athletic Director	Third-Party Vendor Management Audit	2024	January	20	Operational	Implement initiatives and resources and mental well-being among employees
2024	May	20	Ross Geller - Senior Scientist	IT Governance and Control Audit	2023	January	28	IT	Improve methods for evaluating managing credit risks associated with
2024	July	6	Lorelai Gilmore - General Manager	Disaster Recovery and Business Continuity Plan Audit	2024	January	19	IT	Improve channels and platforms information, updates, and announcements
2024	July	18	Sue Sylvester - Athletic Director	IT Disaster Recovery Planning and Testing Review Audit	2024	January	26	IT	Implement initiatives and resources and mental well-being among employees
2024	August	2	Robin Scherbatsky - News Anchor	Third-Party Vendor Management Audit	2024	January	20	Operational	Update guidelines and policies to social media platforms for professional
2024	August	7	Miranda Hobbes - Legal Counsel	Disaster Recovery and Business Continuity Plan Audit	2024	January	19	IT	Update policies and procedures to regulations, and reporting requirements

Open Issues and Action Plans - Entity Analysis - Enchanted Enterprises

Action Plans by Entity and Type



Action Plans by Entity and Severity (Critical)



Year	Month	Day	Action Plan Owner	Audit Name	Year	Month	Day	Audit Type	Management Action Plan	Responsible Executive Name	Responsible
2023	December	31	Selina Meyer - Vice President of Operations	Bank Secrecy Act (BSA) and Anti-Money Laundering (AML) Audit	2023	January	20	Compliance	Streamline incident response processes and workflows to improve efficiency and effectiveness.	Isadora Moonshadow	Chief Com
2024	February	29	Claire Dunphy - Operations Manager	Financial Reporting Processes Audit	2024	January	3	Financial	Implement channels and systems for employees to report concerns, misconduct, or violations confidentially.6	Cassandra Evergreen	Chief Susta
2024	March	31	Samantha Stephens - Marketing Strategist	Treasury Management Processes Audit	2024	January	3	Financial	Conduct regular testing and validation of IT disaster recovery plans to ensure readiness and effectiveness.6	Orion Skywatcher	Chief Tech
2024	April	13	Ross Geller - Senior	Disaster Recovery and Business	2024	January	19	IT	Establish standards and procedures to ensure the	Orion Skywatcher	Chief Tech